Optimization of the EC26-2A4 Epitope in the gp41 Membrane Proximal External Region Targeted by Neutralizing Antibodies from an Elite Controller

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Abstract

The analysis of patient derived HIV neutralizing antibodies (nAbs) and their target epitopes in the viral envelope (Env) protein provides important basic information for vaccine design. In this study we optimized an epitope, EC26-2A4, that is targeted by neutralizing antibodies from an elite controller (EC26) and localizes in the membrane-proximal external region from the gp41 transmembrane protein. Due to its overlap with the epitope of the first generation broadly neutralizing monoclonal Ab (mAb) 2F5 associated with autoreactivity, we first defined the minimal core epitope reacting with antibodies from EC26 plasma, but not with mAb 2F5. The optimized minimal epitope, EC26-2A4ΔM, was able to induce neutralizing antibodies in vaccinated mice. We further analyzed the frequency of antibodies against the EC26-2A4ΔM peptide in HIV-positive patient sera from a treated cohort and an untreated long-term nonprogressor (LTNP) cohort. Interestingly, 27% of the LTNP sera reacted with the peptide, whereas only 9% showed reactivity in the treated cohort. Although there was no association between the presence of antibodies against the EC26-2A4ΔM epitope and viral load or CD4 count in these patients, the CD4 nadir in the treated cohort was higher in patients positive for EC26-2A4ΔM antibodies, in particular in patients having such antibodies at an early and a late timepoint after infection.

Keywords: HIV-1, Env, gp41, MPER, epitope, neutralizing antibodies

Introduction

NUMEROUS STUDIES IN humanized mice and nonhuman primates have proven the importance of broadly neutralizing antibodies (bnAbs) in preventing infection by HIV-1 or SHIV (simian-human immunodeficiency virus).^{1–6} Furthermore, therapeutic applications of bnAbs have been shown to control viremia by limiting seeding of early viral reservoirs and viral rebound, both in animal models and first clinical trials.^{7–22} Such bnAbs have been identified in the last decade in a subset of patients chronically infected by HIV-1.²³ Their detailed structural and functional characterization *in vitro*, in animal models and in first clinical trials, has advanced the HIV vaccine field considerably.²⁴

Based on these studies, it was recognized that bnAbs arising in patients essentially target epitopes localizing to six major regions of the native trimeric Env spike. These are in the outer envelope glycoprotein gp120 (1) the CD4 binding site, (2) glycan peptides in the V1V2 trimer apex, (3) high mannose patches in the V3 region, in the transmembrane protein gp41, (4) the membrane-proximal external region (MPER), (5) the fusion peptide and in the gp120-gp41 interface, (6) several conformation-dependent epitopes (reviewed in Ref.²⁵). These studies helped to design soluble mimics of trimeric Env spikes, which were extensively analyzed in recent years in vaccination studies.²⁶

However, despite continuous improvements, such gp140 immunogens were not yet able to induce bnAbs against

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circulating heterologous primary HIV-1 strains, but at best against autologous strains.^{26–28} Furthermore newer versions of these immunogens (SOSIP.664) do not contain the complete highly conserved hydrophobic gp41 MPER, which is targeted by a number of Abs, both with broad and less broad neutralization potential.²⁹ For this reason, the MPER is often considered separately from the native Env spike in structural and vaccination studies.³⁰

The MPER is the most C-terminal region of the exterior part of gp41 (Fig. 1) and only partially accessible in the native Env spike.³¹ However, this flexible region is accessible for bnAbs during membrane fusion, that is, during the conformational transitions induced in the native Env spike upon binding to CD4 and coreceptors.^{32–34} *Vice versa*, amino acids in the MPER affect the overall conformation of the envelope trimers,³⁵ and antibodies targeting the MPER can influence directly or indirectly—through the induction of escape mutations—the neutralization sensitivity of the virus.³⁶

Studies mapping broad neutralizing activity in patient sera showed an association of MPER-specific antibodies with neutralizing activity of the sera.^{37–40} Furthermore, several linear and partially overlapping epitopes for bnAbs are localized in the MPER (Fig. 1), including two of the first generation bnAbs, 2F5 and 4E10.^{41–43} The most potent bnAb targeting this region is 10E8, which recognizes an epitope very close to the transmembrane region and partially buried by the lipid membrane of the virus.^{31,44–46} The frequent association of MPER epitopes with lipid components in the membrane⁴⁷ often results in autoreactivity of the corresponding mAbs.⁴⁸ This autoreactivity may also cause elimination of some of these mAbs by tolerance mechanisms during B cell ontogeny^{49,50} or in the periphery.⁵¹

We recently identified an epitope in the gp41 MPER, EC26-2A4, which is targeted by neutralizing antibodies from plasma of an HIV elite controller using an Env-tailored phage display library.^{40,52} Although this epitope overlaps with that of the autoreactive mAb 2F5, antibodies purified from patient's plasma with the EC26-2A4 epitope did not show autoreactivity with cardiolipin.⁴⁰ To evaluate the EC26-2A4 epitope further in view of vaccination purposes, in this study we first determined the EC26-2A4 core epitope to define the

essential contact residues of the EC26-2A4 purified plasma antibodies with the aim to maintain the potential to induce neutralizing antibodies upon vaccination, while minimizing the risk of inducing autoreactive 2F5-like antibodies.

We further analyzed the presence of antibodies against the optimized epitope in patient sera from two cohorts, a treated cohort from the HIV Center of the Goethe University in Frankfurt and an untreated HIV-positive long-term non-progressor (LTNP) cohort from ANRS (Agence Nationale de Recherche sur le SIDA et les Hépatites Virales; ALT/CO15) in France to analyze potential associations of the presence of epitope-specific antibodies with clinical parameters.

Materials and Methods

Patient samples and ethics statement

This study was performed as retrospective subanalysis of the ongoing Frankfurt HIV Cohort Study, for which patient data and serum samples are being collected prospectively since 1987.⁵³ It was approved by the data protection officer of the state of Hesse and the Frankfurt University Ethics Committee (Umbrella-Vote-No. 270/09), including special subproject Approval No. 3/HIVERA (February 17, 2014). Patients gave written informed consent to be admitted since 2009. All cohort individuals were treated in concordance with the Declaration of Helsinki, national and institutional standards. Samples from 979 patients were included in this study.

This study also enrolled 71 LTNP HIV-1⁺ patients from the French ALT cohort (ANRS CO15). As previously reported, ALT cohort members met the following inclusion criteria: HIV seropositivity for at least 8 years and CD4 cell counts greater than 600/mm³ for the past 5 years, whatever the viral load, but without symptoms or antiretroviral therapy.^{54,55} The CO15 ALT cohort is funded and sponsored by ANRS and was approved by the Ethics Review Committee of Ile de France-VI (Paris, France). All LTNP patients provided written informed consent, and all methods were performed in accordance with relevant guidelines and regulations indicated by the Declaration of Helsinki.

FIG. 1. Functional domains in gp41, including epitopes for neutralizing antibodies in the MPER. Functional domains include the FP, the NHR, and the CHR forming the six-helix bundle hairpin structure, the MPER containing most gp41 epitopes for neutralizing antibodies, the TM domain, and the ICT. Numbering including the MPER sequence refers to HIV-1HXB2 Env amino acids. MPER epitopes associated with neutralizing antibodies are indicated by horizontal lines.^{40–46,76,77} CHR, C-heptad repeat; FP, fusion peptide; ICT, intracellular tail; MPER, membrane-proximal external region; NHR, N-heptad repeat; TM, transmembrane.

Epitope mapping by spot synthesis peptide arrays

Peptide arrays were synthesized by Fmoc chemistry at activated PEG spacers on cellulose membranes by automated parallel peptide synthesis on a MultiPep RS instrument (Intavis) according to the manufacturer's instructions.^{56,57} After rehydration, washing, and blocking with 5% (w/v) skimmed milk powder in PBS (MPBST) supplemented with 0.05% Tween-20 for 3 h at room temperature (RT), membranes were incubated with 500 ng/ml 2% MPBST diluted affinity-purified plasma antibodies or mAb 2F5 at 4°C overnight. After washing with PBST, bound antibodies were detected with an HRP-conjugated α -human IgG antibody (1 h, RT) and visualized using an ECL Kit (Thermo Fisher Scientific).

Affinity purification of EC26-2A4 Δ M specific plasma antibodies

One milligram Dynabeads M-280 Streptavidin (Thermo Fisher Scientific) was incubated with 2 μ g biotinylated EC26-2A4 Δ M peptide in 500 μ l PBS for 90 min at RT. Unbound EC26 Δ M peptide was removed by three washing steps with 1 ml PBST (PBS/0.05% Tween-20). Subsequently, 1–1.5 ml heat inactivated patient serum (56°C, 1 h) was incubated with the beads overnight at 4°C on a rotator. After five washing steps with PBST, bound epitope specific antibodies were eluted with 300 μ l elution buffer (Pierce™ IgG Elution Buffer) for 30 min at RT on a rotator. Finally, eluted antibodies were neutralized by adding 30 μ l 1 M Tris-HCl pH 9.0. Antibody concentrations were determined by NanoDrop.

ELISA for the detection of epitope-specific antibodies in patient or mouse sera

Microtiter plates (MICROLON High Binding; Greiner) were coated with 100 ng/well EC26-2A4 or 3S peptide in PBS in duplicates at 4°C overnight. For the biotinylated EC26-2A4 Δ M peptide, we used 10 ng/well and precoated streptavidin plates (200 ng/well). After three washing steps with PBST (PBS/0.05% Tween-20), plates were blocked with 5% (w/v) skimmed MPBST for 2 h at RT and subsequently incubated with patient plasma (diluted 1:100) or mouse sera (1:100–1:312,500 in fivefold serial dilutions) for 2 h at RT. Plates were washed thrice with PBST and incubated with HRP-conjugated anti-human or anti-mouse IgG antibodies, respectively, for 1 h at RT. After five washing steps, the plates were developed using 100 μ l/well SureBlue TMB substrate (KPL, Inc.), stopped with 100 μ l 1 N HCl, and read at 450 and 650 nm as a reference.

Analysis of EC26-2A4 Δ M-specific antibodies for autoreactivity by ELISA

Purified epitope-specific plasma antibodies were tested for autoreactivity against cardiolipin and phosphatidylserine by ELISA using Anti-Cardiolipin IgG Test and Anti-Phosphatidylserine IgG Test (Bio-Rad) according to the manufacturer's instructions. Monoclonal neutralizing antibody controls and patient derived EC26-2A4 Δ M epitope-specific plasma antibodies were tested at 10 μ g/ml.

Mouse immunization

Six-week-old NMRI mice (Charles River) were primed with 50 μ g codon optimized HIV-1_{JRFL} DNA intradermally

and after 4 weeks boosted three times every 4 weeks, each with 50 μ g EC26-2A4 Δ M peptide coupled to KLH (Peptides & Elephants, Germany) in monophosphoryl lipid adjuvant. Control mice received only KLH. Five mice were analyzed per group. Blood samples were collected 2 weeks after the last boost and before DNA priming. Mouse sera were heat inactivated at 56°C for 30 min and analyzed for epitope specific binding antibodies by ELISA and for HIV neutralizing antibodies in the TZM-bl assay. All animal experiments were performed according to the institutional guidelines.

Neutralization studies

Neutralization studies were performed with HIV-1 pseudoviruses in the standardized TZM-bl assay as previously described.^{58,59} All serum samples were heat inactivated at 56°C for 1 h before use. Briefly, pseudoviruses were produced by cotransfection of 293T cells with an *env* expressing vector and an *env*-deficient backbone vector (pSG3 Δ *env*) and titered on TZM-bl cells. Pseudovirus stocks [15,000 relative luminescence units (RLU)] were preincubated with serial dilutions of serum or purified antibodies for 1 h at 37°C followed by addition of 1×10^4 TZM-bl cells per well of a 96-well plate for 48 h in duplicates. After cell lysis, RLU were determined with a luminometer (BMG Labtech GmbH). The 50% inhibitory dose (IC₅₀) was defined as the reciprocal serum dilution causing 50% reduction in RLU compared to virus control wells.

Results

Optimization of the EC26-2A4 core epitope for differential binding to neutralizing antibodies from plasma EC26 and autoreactive mAb 2F5

In previous work we have narrowed down the original 29 amino acids (aa) long EC26-2A4 epitope to an 8 aa core epitope EC26-2A4MZ with the sequence ⁶⁵⁹ELLELDKW⁶⁶⁶, which is essential for binding of epitope-specific neutralizing antibodies purified from EC26 plasma.⁴⁰ As mAb 2F5 with an epitope overlapping the EC26-2A4MZ core epitope (Fig. 1) is associated with autoreactivity against phospholipids like cardiolipin, in this study we optimized the EC26-2A4MZ peptide sequence to achieve reactivity with EC26 antibodies, but not with mAb 2F5. We first performed an alanine walk through the EC26-2A4MZ peptide sequence to identify essential residues important for binding EC26 plasma derived antibodies. This alanine walk identified L660, L663, D664, and K665 as crucial for binding, with only minor contributions of E659 and W666 (Fig. 2A).

In contrast, W666 as aromatic amino acid is absolutely essential for the reactivity with autoreactive mAb 2F5 and is known to mediate contact to the plasma membrane juxtaposed to the 2F5 MPER epitope and therefore likely to be involved in autoreactivity. We therefore exchanged W666 in the EC26-2A4MZ core for all other 19 amino acids to identify peptides with differential binding to epitope-purified EC26-2A4 antibodies and mAb 2F5 (Fig. 2B). Among four peptides showing strong binding to EC26-2A4 antibodies and no binding to mAb 2F5 (Fig. 2C, last amino acid position Q, G, M, or S), peptide EC26-2A4 Δ M with the sequence ELLELDKM was analyzed further, as it consistently showed the observed reactivity pattern.

FIG. 3. The EC26-2A4ΔM epitope induces neutralizing antibodies upon vaccination of mice. **(A)** Analysis of mouse sera from peptide immunized (solid line) and KLH-control (dotted line) mouse sera by ELISA for antibodies binding to the original EC26-2A4 and the optimized EC26-2A4ΔM epitopes. Values of preimmune sera have been subtracted. Bars indicate the standard deviation of the mean value from triplicate measurements. **(B)** Neutralization activity of mouse sera against HIV-1 pseudoviruses SF162.LS, SS1196, Bal26 (all subtype B, tier 1) in the TZM-bl assay. The reciprocal serum dilution giving 50% neutralization (IC₅₀) is shown for each mouse serum. Bars indicate the mean of triplicates.

potential autoreactivity with cardiolipin and phosphatidylserine, two antigens known to cross-react with MPER mAbs 4E10 and 2F5. Out of the eight sera tested, seven neutralized between one and five pseudoviruses, including some tier 2 strains (Table 2). The neutralizing activity was comparable to that of the EC26 plasma antibodies previously purified with the original longer EC26-2A4 peptide.⁴⁰ There was also no cross-reactivity of the purified plasma antibodies from the eight samples with cardiolipin and phosphatidylserine (Fig. 5), the latter being even lower compared with

the original plasma EC26 antibodies.⁴⁰ As expected, mAb 4E10 showed strong reactivity with both antigens, whereas mAb 2F5 reacted with cardiolipin, but not with phosphatidylserine.

Discussion

BnAbs against HIV-1 need time to develop, as they require a mutual process of selection and adaptation to the coevolving HIV-1 quasispecies in the patient, finally resulting in bnAbs with peculiar features such as high affinity maturation, long HCDR3 loops, and so on.^{62,63} However, bnAbs against HIV-1 are generated in an immune system that is damaged from very early on due to chronic hyperactivation, and B cell responses in particular are compromised due to loss of CD4 helper T cells, a shift from naive to memory B cells and premature class switch.⁶⁴ For this reason, some years ago, we chose to study HIV neutralizing antibodies from HIV controllers (LTNPs and elite controllers) with a much less compromised immune system, after proving that their plasma samples showed better neutralizing activity against a panel of HIV-1 strains than control plasma samples from progressors with comparable viral load and CD4 cell count.^{40,65,66} In the course of these studies we identified a new epitope in the

TABLE 1. CLINICAL PARAMETERS OF SERA FROM PATIENTS ON ART (FRANKFURT COHORT) ACCORDING TO THEIR REACTIVITY WITH THE EC26-2A4ΔM EPIOTOPE BY ELISA

EC26-2A4ΔM antibodies	No. of patients tested	Viral load ^a [copies/ml]	CD4 count ^a	CD4 nadir ^a	CD4/CD8 ratio ^a
Positive	83	11,900	380	172	0.39
Negative	896	8,100	357	125	0.35

^aMedian values are indicated.
ART, antiretroviral therapy.

FIG. 4. Analysis of EC26-2A4ΔM antibodies present in sera from HIV-infected patients and correlation with clinical data. **(A)** Correlation between the presence of anti-EC26-2A4ΔM Ab in the plasma of antiretroviral therapy-treated patients and the CD4-nadir. **(B)** Correlation between the presence of anti-EC26-2A4ΔM Ab in the plasma of untreated patients and viral load and CD4 cell count. **(C)** Analysis of viral RNA levels in the plasma of untreated patients according to the presence of plasma antibodies against EC26-2A4ΔM alone, 3S alone, or against both peptides compared to negative sera. Quantification of antibody titers was carried out by indirect ELISA. Biotinylated-EC26-2A4ΔM was incubated in duplicates with heat-inactivated patient sera. Binding antibodies were detected using a goat HRP-conjugated anti-human antibody. Bars in the boxes indicate the median values. Significance was evaluated by unpaired *t*-test. (**p*<0.05; ****p*<0.0001)

TABLE 2. NEUTRALIZATION ACTIVITY OF EC26-2A4 Δ M-SPECIFIC ANTIBODIES PURIFIED FROM PATIENTS ON ART (FRANKFURT COHORT)

Virus	Clade	Tier	EC26-2A4 Δ M antibodies from patients on ART							
			1	2	3	4	5	6	7	8
SF162.LS	B	1	5.1	1.4	6.0	5.0	>20	9.8	5.3	4.1
SS1196.1	B	1	20.0	>20	16.6	>20	>20	>20	17.3	>20
Bal.26	B	1	n.d.	12.3	n.d.	15.2	n.d.	>20	12.6	>20
398_F1_F6_20	A	2	20.0	3.9	n.d.	15.8	n.d.	20.0	12.6	>20
CNE8	A/E	2	20.0	>20	n.d.	>20	n.d.	20.0	>20	>20
THRO4156.18	B	2	>20	>20	>20	>20	>20	>20	12.5	>20
X1632_S2_B10	G	2	>20	20	n.d.	>20	n.d.	19.0	>20	>20

Mean IC₅₀ values derived from the TZM-bl neutralization assays are indicated in μ g/ml. Neutralization activity (IC₅₀ $\leq$ 20 μ g/ml) is marked in gray.

ART, antiretroviral therapy; n.d., not determined.

MPER, EC26-2A4, which is targeted by neutralizing antibodies present in plasma from the elite controller EC26.^{40,52}

The gp41 MPER is a major target for bnAbs and as such is in focus of vaccine development since many years.^{30,37–39,67,68}

MPER epitopes for bnAbs are generally exposed on fusion intermediates within the timeframe between receptor-mediated activation of the native trimeric Env spike and membrane fusion.^{34,69} Furthermore, the MPER epitopes are partially covered by membranes due to their juxtaposition to the gp41 transmembrane domain. Therefore, bnAbs against MPER epitopes often contact nearby membrane envelope components with their long HCDR3 loops resulting in better extraction of the actual target epitopes.^{31,47,70} Due to this fact, MPER bnAbs are often associated with autoimmunity.

In this study, we further analyzed and optimized the EC26-2A4 epitope in view of vaccination purposes. By defining the essential amino acids in the EC26-2A4MZ core epitope, which are responsible for reactivity with EC26 plasma antibodies, while not reacting with the autoreactive mAb 2F5 with an overlapping epitope, we could define a new optimized epitope, EC26-2A4 Δ M. We could show that this epitope is able to induce neutralizing antibodies against tier 1 strains upon vaccination in mice. Although the original plasma from the EC26 patient neutralized 10/11 tier 2 viruses tested,⁴⁰ mice sera did not neutralize the only tier 2 strain tested, THRO4156.18. This was not unexpected, as mice usually do not show tier 2 neutralizing activity upon vaccination and even monkeys and rabbits so far show neutralizing antibodies, at best, against autologous tier 2 strains in recent studies, where native-like Env spikes have been used for immunization.⁷¹

We further analyzed the presence of antibodies against the optimized EC26-2A4 Δ M epitope in HIV-positive patient sera to study their clinical relevance. There was no evident correlation between EC26-2A4 Δ M antibodies and viral load or CD4 count in the German cohort. This was not surprising, as potential effects may have been skewed by the antiretroviral treatment. However, despite the fact that patients from this cohort were infected for many years and on several antiretroviral treatments, an interesting observation was that the 33 patients having anti-EC26-2A4 Δ M antibodies at the early and late timepoint of sampling had the highest CD4 nadirs. In clinical practice, CD4 cell nadir of untreated individuals may not only predict the magnitude of viral reservoirs and T cell recovery during treatment,^{72,73} it furthermore determines the clinical stage of HIV infection according to CDC.⁷⁴

FIG. 5. Purified EC26-2A4 Δ M-specific antibodies do not show autoreactivity with phospholipids. ELISA reactivity of purified EC26-2A4 Δ M antibodies (10 μ g/ml) with (A) cardiolipin and (B) phosphatidylserine (white bars). Internal kit controls are in black, whereas monoclonal reference antibodies are in gray. Mean and standard deviation of duplicates are shown.

A recent randomized clinical trial revealed a clinical benefit for HIV-infected individuals starting antiretroviral therapy immediately at high ($>500/\mu\text{L}$) CD4 cell count nadir, compared to deferred therapy initiation at lower nadir cell count ($<350/\mu\text{L}$).⁷⁵ This could indicate some clinical relevance of CD4 cell count nadir for the development of antibodies targeting the EC26-2A4ΔM epitope. To elucidate this further, we also analyzed patient sera from a nontreated LTNP cohort (ANRS CO15 ALT cohort) for the presence of EC26-2A4ΔM specific antibodies. Although a much higher proportion of sera (about 27%) reacted with EC26-2A4ΔM in this cohort and an association with lower viral load in the corresponding patients was observed, a more detailed analysis showed that this association was due to the fact that about 50% of the EC26-2A4ΔM positive sera also contained antibodies against the gp41 3S peptide, which was previously associated with lower viral load in this cohort.⁶⁰ After analyzing sera single positive for EC26-2A4ΔM antibodies, there was no association with viral load or CD4 counts. Unfortunately, there are no data on CD4 nadir available for the French cohort.

Due to the neutralizing potential of EC26-2A4ΔM antibodies, in the absence of autoreactivity, and the capacity of antibodies against the 3S peptide to reduce CD4 depletion by downregulating the activating NKp44L expression on uninfected CD4⁺ T cells,⁶¹ both gp41 epitopes in combination may represent an ideal dual vaccine to achieve both, protection/reduction of infection and preservation of CD4 T cells.

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Author Disclosure Statement

No competing financial interests exist.

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